

# Eugene Washington PCORI Engagement Awards Program Webinar

## Key Information and Updates Webinar for Applicants

April 28, 2016

11:00 a.m. – Noon (ET)



PATIENT-CENTERED OUTCOMES RESEARCH INSTITUTE

# Agenda

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- Welcome and Staff Introductions
- About PCORI and Engagement
- Eugene Washington PCORI Engagement Award Program Overview
- Application Process and Tips for Success
- Applicant Contractual/Financial FAQs
- Program Updates and Next Steps
- Q&A



# Welcome and Introductions

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# About Us

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- An independent research institute authorized by Congress in 2010 and governed by a 21-member Board of Governors representing the entire healthcare community.
- Funds comparative clinical effectiveness research (CER) that engages patients and other stakeholders throughout the research process.
- Seeks answers to real-world questions about what works best for patients based on their circumstances and concerns.



# Our Mission and Strategic Goals

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PCORI helps people make informed healthcare decisions, and improves healthcare delivery and outcomes, by producing and promoting high-integrity, evidence-based information that comes from **research guided by patients, caregivers, and the broader healthcare community.**

## Our Strategic Goals:



Increase quantity, quality, and timeliness of useful, trustworthy research information available to support health decisions.



Speed the implementation and use of patient-centered outcomes research evidence.



Influence research funded by others to be more patient-centered.



# Who Are Our Stakeholders?

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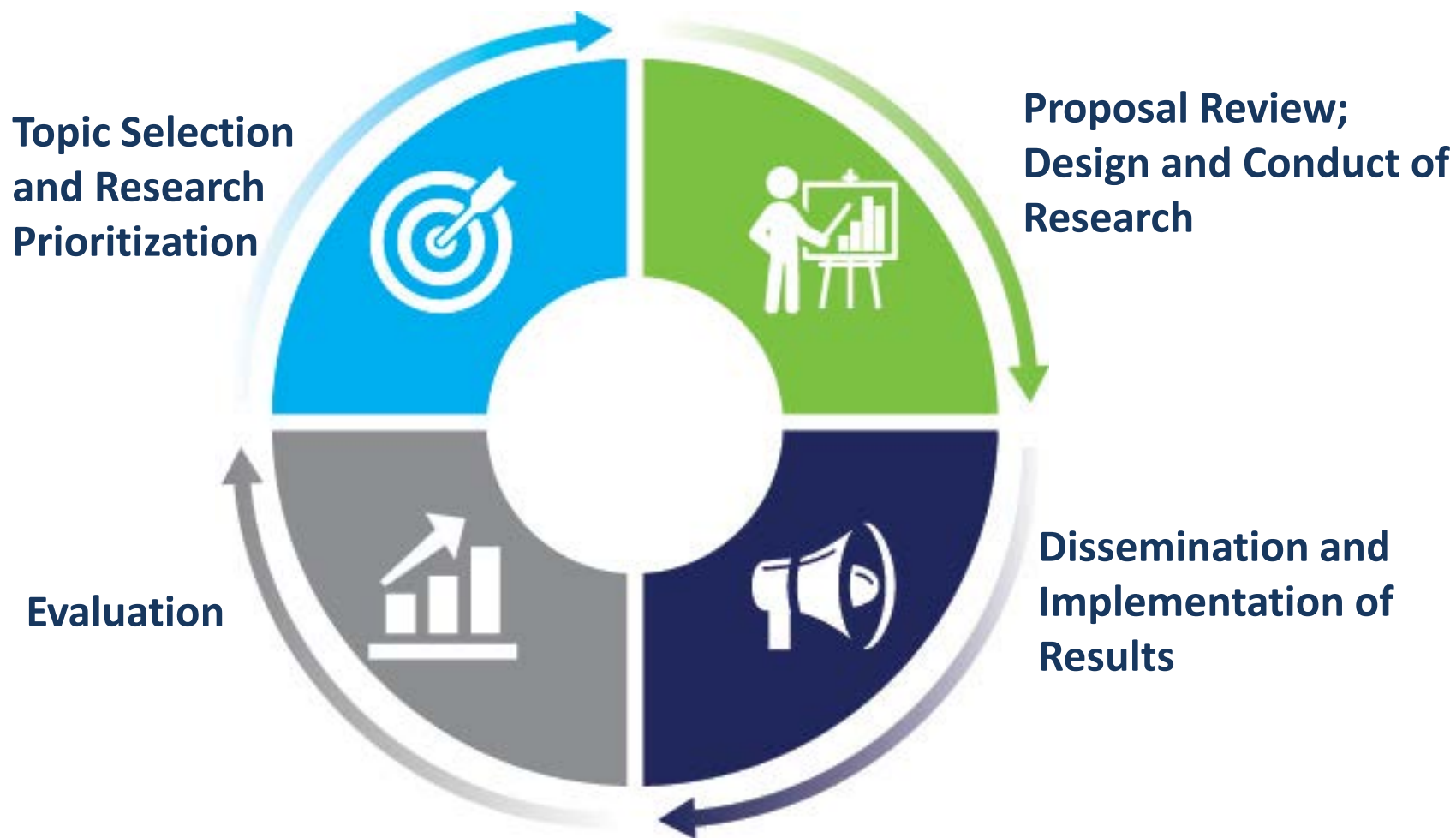
# Engagement: Promoting Patient/Stakeholder Participation in Research Processes

- Patients and stakeholders from across the entire healthcare enterprise are actively and meaningfully involved in the entire research process—from the development of research priorities to the dissemination of research findings.
- Patients are treated as partners in research, not just “subjects.”
- Engagement intentionally used as a tool to advance research in the areas of comparative clinical effectiveness research (CER) and patient-centered outcomes research (PCOR).





# Engagement as a Path to Useful, High-Quality Research





# Why Engage?

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To influence research to be patient-centered, relevant, and useful

To establish trust and a sense of legitimacy in research findings

To encourage successful uptake and use of research results



# Eugene Washington PCORI Engagement Award Program

- A programmatic funding opportunity -- not research awards
- Support projects that will build a community better able to participate in patient-centered research (PCOR) and comparative clinical effectiveness research (CER), as well as serve as channels to disseminate study results
- Projects will produce deliverables that are useful to awardees, PCORI, and the broader PCOR community for increasing patient and stakeholder engagement in PCOR and CER



# Engagement Award Overview

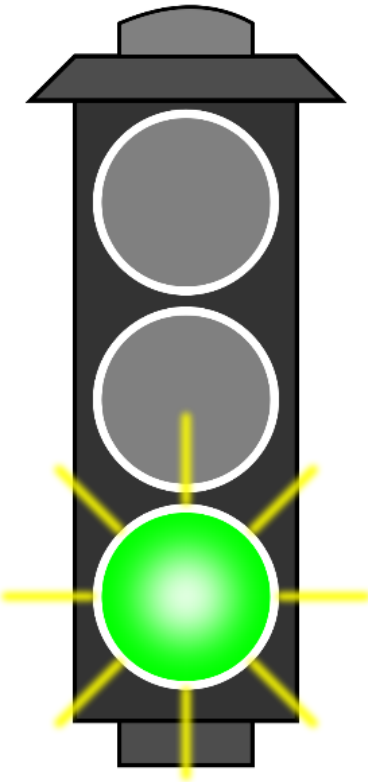
- 🌱 Engagement Award (EA) projects
  - build **knowledge** base about how patients and other stakeholders want to participate in PCOR/CER or receive research findings;
  - implement **training** or skill **development** initiatives to build capacity for engaging in PCOR; and
  - strengthen channels for **disseminating** research findings.
- 🌱 Funding through an Engagement Award Initiative Notice (EAIN) supports **meetings/conferences** that align with PCORI's mission and strategic plan, and facilitate expansion of PCOR/CER in areas such as:
  - research design and methodology
  - research development
  - dissemination and implementation

Awards of **up to \$250,000** per project, up to **two years** in duration



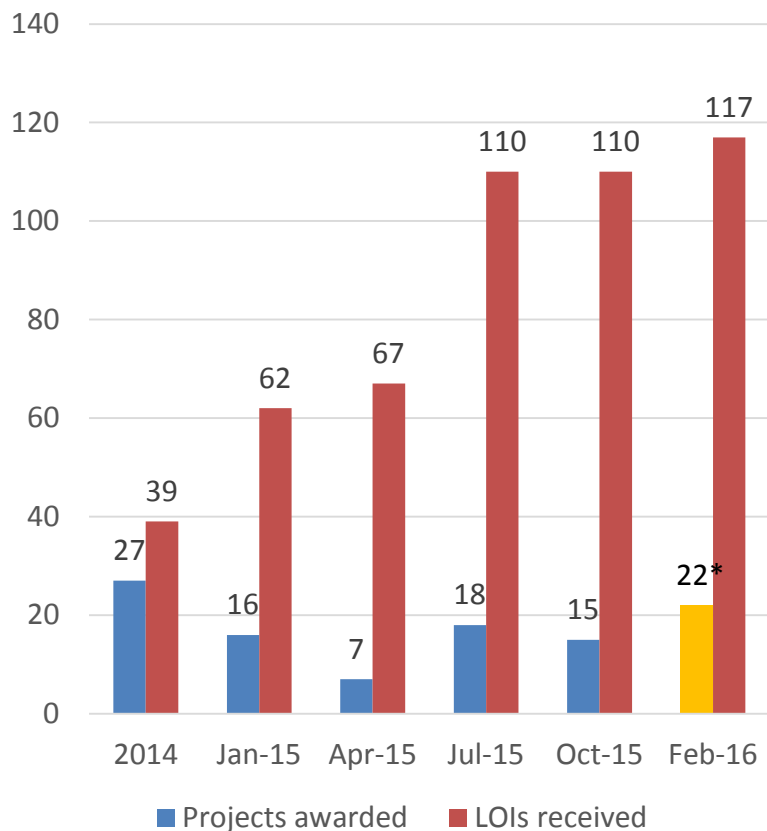
# Emphasis On Planning for Dissemination of PCOR Findings

- Organizations with strong ties to end-user audiences
- To prepare to disseminate and implement PCOR/CER results
- Focus on strengthening the infrastructure and relationships necessary to actively disseminate and implement research results or products derived from PCORI studies or other high-quality PCOR/CER findings consistent with PCORI's research priorities
- Separate from Limited D&I funding opportunity
- Infrastructure and relationships developed must be sustainable with the potential to be scaled
- Information and tools generated must be generalizable and made public

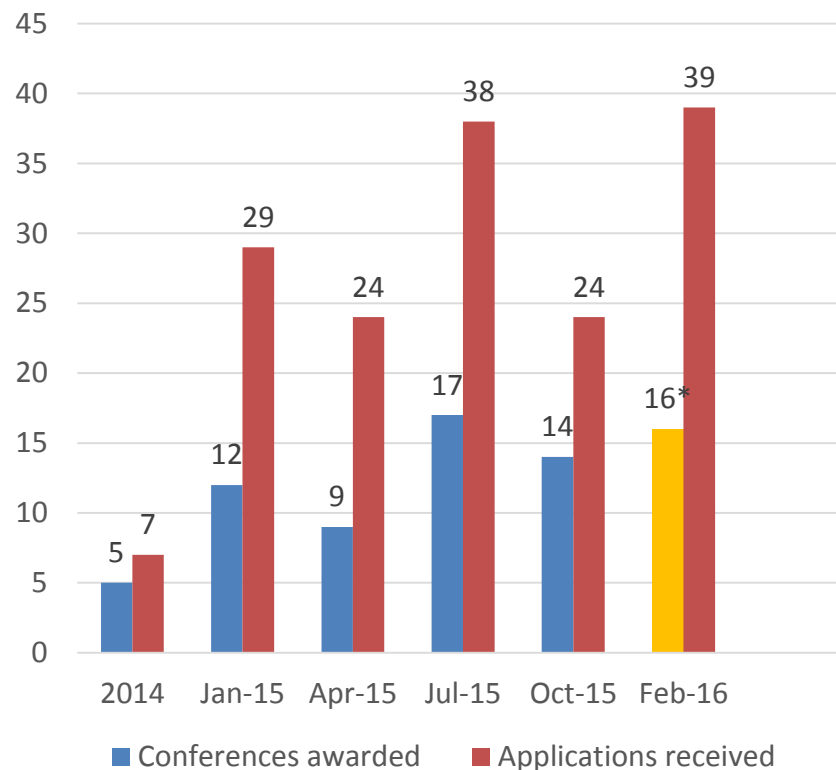


# Applications Received and Awards Made To Date

## Engagement Award Projects



## Engagement Awards for Conference Support



**Since program launch in Feb 2014, we've selected 151 projects/conferences for Engagement Awards\*\***



# Example EA: “Tennessee Sickle Cell Disease Network”

- **Project Objective:**
  - Vanderbilt University will create a rural and urban sickle cell disease community to provide a voice in the regional and national patient-centered outcomes research agenda. Establishing a sustainable sickle cell disease network will help drive a patient-centered research and healthcare service agenda.
- **Engagement:**
  - Members of the patient/caregiver, research, and advocacy communities throughout the state will all be able to give their input and feedback on strategies for sustainably and systematically engaging non-researchers in PCOR, specifically related to sickle cell disease.
- **Deliverables:**
  - Educational programs for non-research stakeholders
  - Promising practices for local and regional Community Ambassador outreach on PCOR
  - A free, web-based manual of operations for engaging rare disease communities in rural/urban areas in PCOR



# Example EAIN: “Engaging patients, community, and health system stakeholders to establish PCOR priorities”

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- **Project Objective:**

- The Duke Center for Community and Population Health Improvement is facilitating the development of a collaborative comprising academic, health system, and community partners to address local health priorities through a platform of research and demonstration projects that can be translated into lasting policies and practices to improve health and reduce disparities in Durham, NC.

- **Engagement:**

- Patients, families, community members, health care providers, and researchers will equally give their voice to the establishment of a 5-year roadmap for PCOR by working together in educational workshops, PCOR working sessions, and countywide colloquia.

- **Deliverables:**

- Landscape/needs assessment of knowledge gaps in data science
- Create a forum for education and discussion of patient and community experiences related to PCOR through workshops and symposium
- Identify PCOR priorities directly relevant to patients, families, community members, and other stakeholders
- Create and disseminate a ‘Durham PCOR Roadmap’





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# APPLICATION PROCESS



# Who Can Apply?

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- **Private Sector**
  - Nonprofit and for-profit research organizations
- **Public Sector**
  - Universities and colleges; hospitals and healthcare systems; laboratories and manufacturers; units of state, local, or federal government
- **U.S. Organizations**
  - Must be recognized by the Internal Revenue Service
- **Foreign Organizations and Non-Domestic Components of U.S. Organizations**
  - May apply if there is demonstrable benefit to the U.S. healthcare system or U.S. efforts in the area of patient-centered research can be clearly shown
- **Individuals**
  - Not permitted to apply under this program



# How to Submit Applications

- <http://www.pcori.org/funding-opportunities>



## Engagement Award: Knowledge, Training and Development, and Dissemination Awards

Key Deadlines	Type	Funds Available	Total Costs
<b>LOI:</b> June 1, 2016 by 5 p.m. ET <b>Application:</b> Full proposals are due 40 days after review and approval of the LOI.	Program Award		Award total costs may not exceed \$250,000

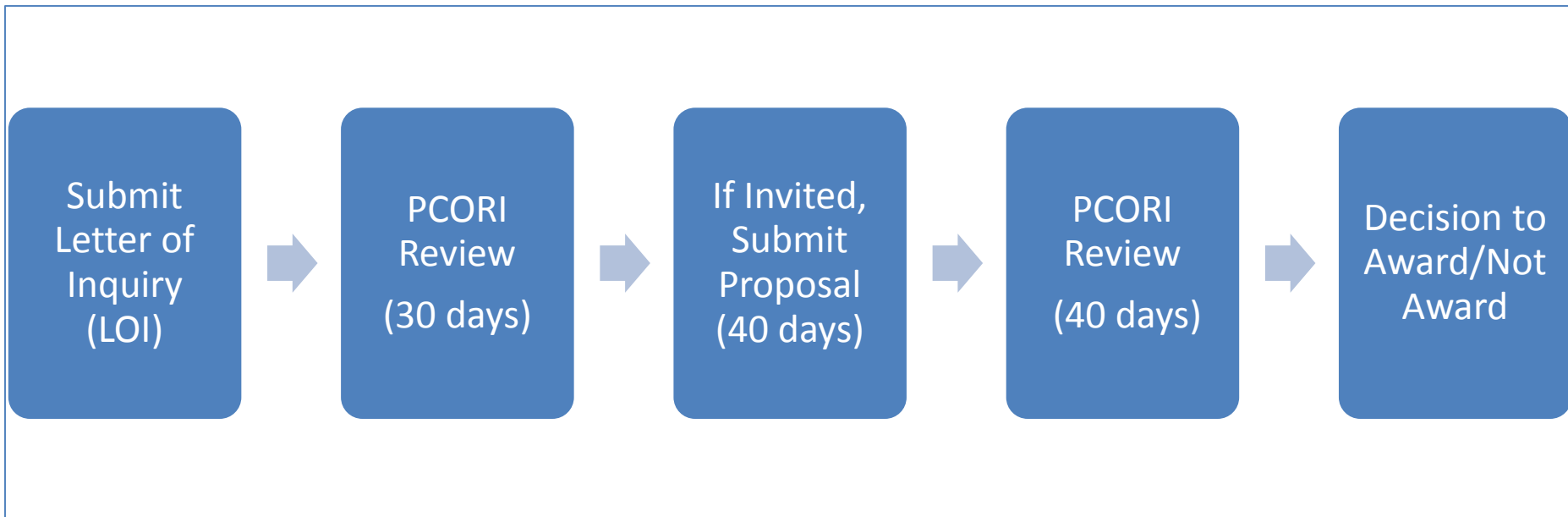


## Engagement Award (EAIN): Research Meeting and Conference Support

Key Deadlines	Type	Funds Available	Total Costs
<b>LOI:</b> Not required <b>Application:</b> June 1, 2016 by 5 p.m. ET	Program Award		One-time award total costs must not exceed \$50,000 and multi-year award total costs may not exceed \$250,000.



# Review Process



- If applying for meeting/conference support, you do not need to submit a Letter of Inquiry (LOI); applicants proceed directly to submitting a full proposal.
- The funding cycle deadlines for both LOIs and proposals for meeting/conference support are: February 1, **June 1**, and October 1.
- Applications are reviewed by PCORI's Engagement Department, Contracts Management and Administration, and other PCORI internal staff, as needed.



# Tips for Successful Submissions

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- 🌐 Read FAQs
- 🌐 Consider projects already awarded - summaries and work products available at <http://www.pcori.org/research-results>
- 🌐 Review Available Resources
  - Program Guidelines
    - Program summary, award types and list of what we don't fund
  - Application Guidelines
    - Programmatic overview and application requirements
    - Details on how to submit an LOI and application
    - Application checklist
    - Includes a glossary of key terms
    - Allowable and unallowable costs
  - Online User Manual
    - Step-by-step guide to walk through the online application portal
- 🌐 Use Application Checklist
  - Ensure you've included all required and optional components before hitting submit



# Engagement Awards NOT Intended to Support:

- Projects solely intended to improve patient engagement in healthcare service delivery, patient self-care, or patient-centeredness of care (e.g., shared decision making)
- Projects intended to increase the number of patients who agree to be research subjects or participants
- Research projects
- Planning or pilot studies
- Projects designed solely to validate tools or instruments
- Delivery of health care
- Development of registries or recruitment of research or registry participants
- Development of decision support tools or clinical practice guidelines
- Meetings that don't focus on PCOR or CER
- Full-fledged projects to translate PCORI research findings into products and/or disseminate PCORI research results
- Projects proposed by PCORI-funded investigators to prepare for applying (i.e. “bridge funding”) to the Limited PCORI Funding Announcement: Dissemination and Implementation



# Applications Reviewed Against Six Criteria

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## Program Fit

- Does the application demonstrate program fit or an innovative idea that supports its mission?



## Project Plan and Timeline

- Does project plan and timeline seem reasonable?



## Qualifications of Project Lead

- Do the qualifications of the project lead align with the scope of the project?



## Personnel and Collaborators

- Is there adequate engagement of patients and other stakeholders? Are collaborations meaningful and appropriate based on interest, expertise and scope of the project?



## Past Performance

- Does the project team demonstrate sufficient past performance?



## Budget/Cost Proposal

- Does the budget demonstrate reasonable cost designations?





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# CONTRACTUAL/FINANCIAL FAQs



# Engagement Pre-Award Process - Budget Review

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- **Allowable and Unallowable Costs**
  - **Direct Costs: Are they also reasonable?**
  - **Indirect Costs:**
    - Follow your institution's Rate Agreement policy, either federally or independently negotiated. If none, you may use up to 10% IDC.
- **Detailed Budget and Justification**
  - Salary and Fringe Benefits – salary cap, effort, institution's fringe policy
  - Breakdown for # of days, traveling to/from, airfare, per diem, how much per meal
  - Consultants – rates, how rate was derived, # of hours
  - Conference – how much for A/V rental, catering (breakdown), space rental



# Allowable vs. Unallowable Costs



PERSONNEL SALARIES  
& BENEFITS



CONSULTANT  
FEES



TRAVEL COSTS



EQUIPMENT



INPATIENT &  
OUTPATIENT COSTS



SUPPLIES



SUBCONTRACTOR  
DIRECT & INDIRECT



APPLICANT  
INDIRECT COSTS

## ALLOWABLE COSTS

## UNALLOWABLE COSTS



ADVERTISING



DONATIONS



INTEREST OF  
BAD DEBTS



EXCESSIVE AIRFARE



FUNDRAISING



ENTERTAINMENT



ALCOHOLIC BEVERAGES



PERSONAL EXPENSES



LOSSES ON OTHER  
GRANTS



ALUMNI ACTIVITIES



STUDENT HOUSING



MEMBERSHIP



BAD DEBTS/LOSSES



IDLE FACILITIES



LOBBYING



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# PROGRAM UPDATES



# Increased Transparency

## Community Based Participatory Research (CBPR) for African American Patients with Serious Mental Illness



### Project Lead

Patrick Corrigan, PsyD

### Organization

Illinois Institute of Technology

### State

Illinois

### Year Awarded

2015

### Funding Announcement

Engagement Award

### Project Budget

\$248,735

### Project Period

24 months

## Project Summary

This community-based participatory research (CBPR) project addresses disparities in integrated health care for African Americans with serious mental illness. An advisory board composed of diverse stakeholders (patients, providers, family, etc.) will convene to develop a CBPR training curriculum. The advisory board will implement the training within selected organizations that comprise Together4Health (T4H), a collaborative group formed by healthcare agencies in the Chicago area. The advisory board will then select two teams of stakeholders to design and implement unique projects using CBPR methods. The CBPR teams will each select a problem specific to healthcare access for African Americans with mental illness, formulate a solution, implement the solution, and evaluate the solution scientifically. CBPR teams are viewed as active participants during all aspects of the research process and are provided with training and capacity to support implementation and evaluation.

The projected output from this project is the development and execution of a training curriculum to teach stakeholder groups to implement CBPR.

Project collaborators include the Center on Adherence and Self-Determination; Advocates for Human Potential, Inc.; Advisory Board (TDAB) members; and Community Based Participatory Research (CBPR) members at Emmaus Ministries and Heartland Health Outreach.

## More on This Project

[Project Landscape Review and References](#)

Project Deliverable: [Inspiring Change - Community Based Participatory Research Manual](#)

Project Deliverable: [Inspiring Change - Community Based Participatory Research Fidelity](#)

Project Resource: [Manual for NIMHD African American Homeless Project](#) [Made possible with Grant #5R24MD007925, National Institute of Minority Health and Health Disparities]

Project Resource: [Workbook for NIMHD African American Homeless Project](#) [Made possible with Grant #5R24MD007925, National Institute of Minority Health and Health Disparities]

Video: [Engaging Patients with Mental Illness in Patient-Centered Outcomes Research](#)

People with serious mental illness tend to live shorter lives, especially those from ethnic minorities. Illinois Institute of Technology researchers Sonya Ballentine and Patrick Corrigan, PsyD, describe their Eugene Washington PCORI Engagement Award, which involves such people as partners in patient-centered outcomes research.

Project summary pages enhanced to include collaborators, projected outputs, and deliverables (when available)



# Upcoming Events

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- **Final FY 2016 Funding Cycle Deadline:** June 1, 2016 for Letter of Inquiry (LOI) and EAIN Full Proposal/Application
- Changes to occur to online application system (Salesforce/FoundationConnect) to allow for post-award management; **trainings will be offered this summer**
- **PCORI Annual Meeting:** November 17-19, 2016, in National Harbor, MD



# Thank you!

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## Contact Information:

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# Questions and Comments?



We welcome your questions and comments; use the chat function on the right side of your screen.



We welcome your comments via Twitter to @PCORI and #PCORI.



An archive of this webinar will be posted to <http://www.pcori.org/get-involved/participate-pcori-events/pcori-practice> following this event.

