Eugene Washington PCORI Engagement Award Program Webinar

Award Program Updates and Information for Applicants

June 27, 2017

12:00pm-1:00pm EDT

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Agenda

- Welcome and Staff Introductions
- About PCORI and Engagement
- Eugene Washington PCORI Engagement Award Program Overview
- Program Updates
- Application Process and Tips for Success
- Applicant Contractual/Financial FAQs
- Upcoming Events
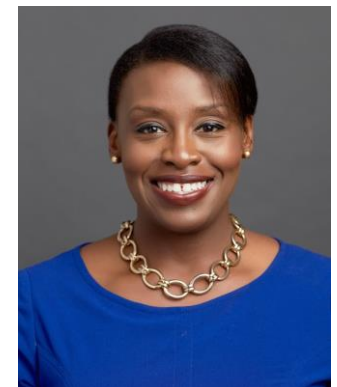- Q&A

Welcome and Introductions

Lia Hotchkiss, MPH
Director

Shivonne L. Laird, PhD, MPH
Program Officer

Yasmeen Long, MA
Program Officer

Alicia Thomas, MHS
Program Officer

Ivey Wohlfeld
Program Associate

Gamaliel Gabriento, MSc
Contracts Administrator

Rachel Mosbacher, MPA
Program Officer

PATIENT-CENTERED OUTCOMES RESEARCH INSTITUTE

About Us

- An independent research institute authorized by Congress in 2010 and governed by a 21-member Board of Governors representing the entire healthcare community.

- Funds comparative clinical effectiveness research (CER) that engages patients and other stakeholders throughout the research process.

- Seeks answers to real-world questions about what works best for patients based on their circumstances and concerns.

Our Mission and Strategic Goals

PCORI helps people make informed healthcare decisions, and improves healthcare delivery and outcomes, by producing and promoting high-integrity, evidence-based information that comes from **research guided by patients, caregivers, and the broader healthcare community.**

Our Strategic Goals:

Increase quantity, quality, and timeliness of useful, trustworthy research information available to support health decisions.

Speed the implementation and use of patient-centered outcomes research evidence.

Influence research funded by others to be more patient-centered.

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Who Are Our Stakeholders?

Caregivers/Family Members

Clinicians

Payers

Purchasers

Policy Makers

Patients/Consumers

Industry

Hospitals/Health Systems

Training Institutions
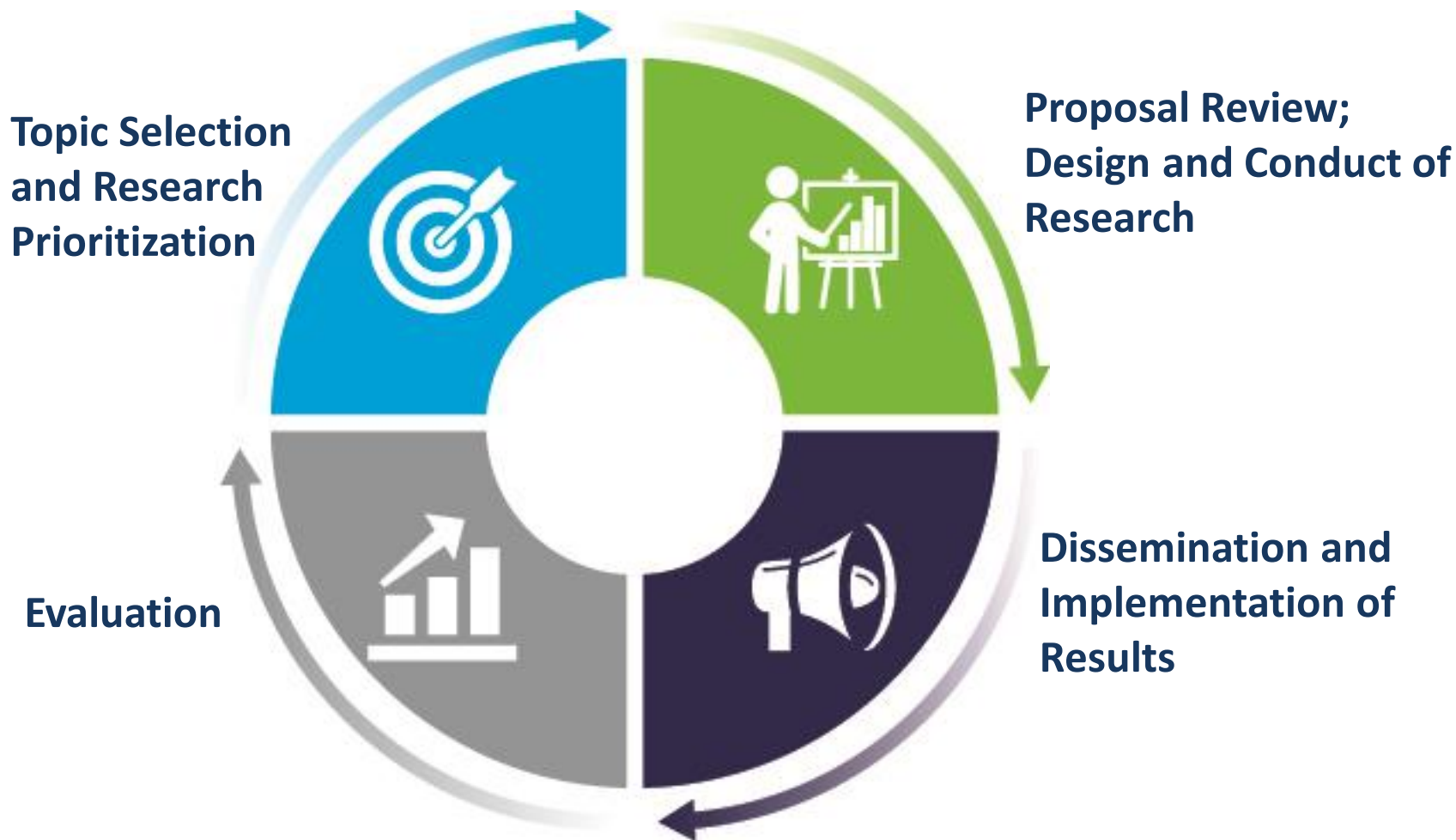
Patient/Caregiver Advocacy Organizations

Engagement: Promoting Patient/Stakeholder Participation in Research Processes

- Patients and stakeholders from across the entire healthcare enterprise are actively and meaningfully involved in the entire research process—from the development of research priorities to the dissemination of research findings.

- Patients are treated as partners in research, not just "subjects."

- Engagement intentionally used as a tool to advance research in the areas of comparative clinical effectiveness research (CER) and patient-centered outcomes research (PCOR).

Engagement as a Path to Useful, High-Quality Research

Topic Selection and Research Prioritization

Proposal Review; Design and Conduct of Research

Evaluation

Dissemination and Implementation of Results

Why Engage?

To influence research to be patient-centered, relevant, and useful

To establish trust and a sense of legitimacy in research findings

To encourage successful uptake and use of research results

Eugene Washington PCORI Engagement Award Program

- A research support funding opportunity -- <u>not</u> research awards

- Support projects that will build a community better able to participate in patient-centered research (PCOR) and comparative clinical effectiveness research (CER), as well as serve as channels to disseminate study results

- Projects will produce deliverables that are useful to awardees, PCORI, and the broader PCOR community for increasing patient and stakeholder engagement in PCOR and CER

Develop Community Skilled in PCOR → **Engage Community in Research Processes** → **Engage Community in Dissemination**

Engagement Award Overview (June 1, 2017)

- Engagement Award (EA) **projects**
  - Equip patients and other stakeholders to engage as meaningful partners in PCOR/CER from topic selection to dissemination or implementation of results
  - Support organizations to lay groundwork for disseminating and implementing research results or products derived from PCORI

  > Awards of **up to $250,000** per project, up to **two years** in duration

- Funding through an Engagement Award Initiative Notice (EAIN) supports **meetings/conferences** that align with PCORI's mission and strategic plan, and facilitate expansion of PCOR/CER in areas such as:
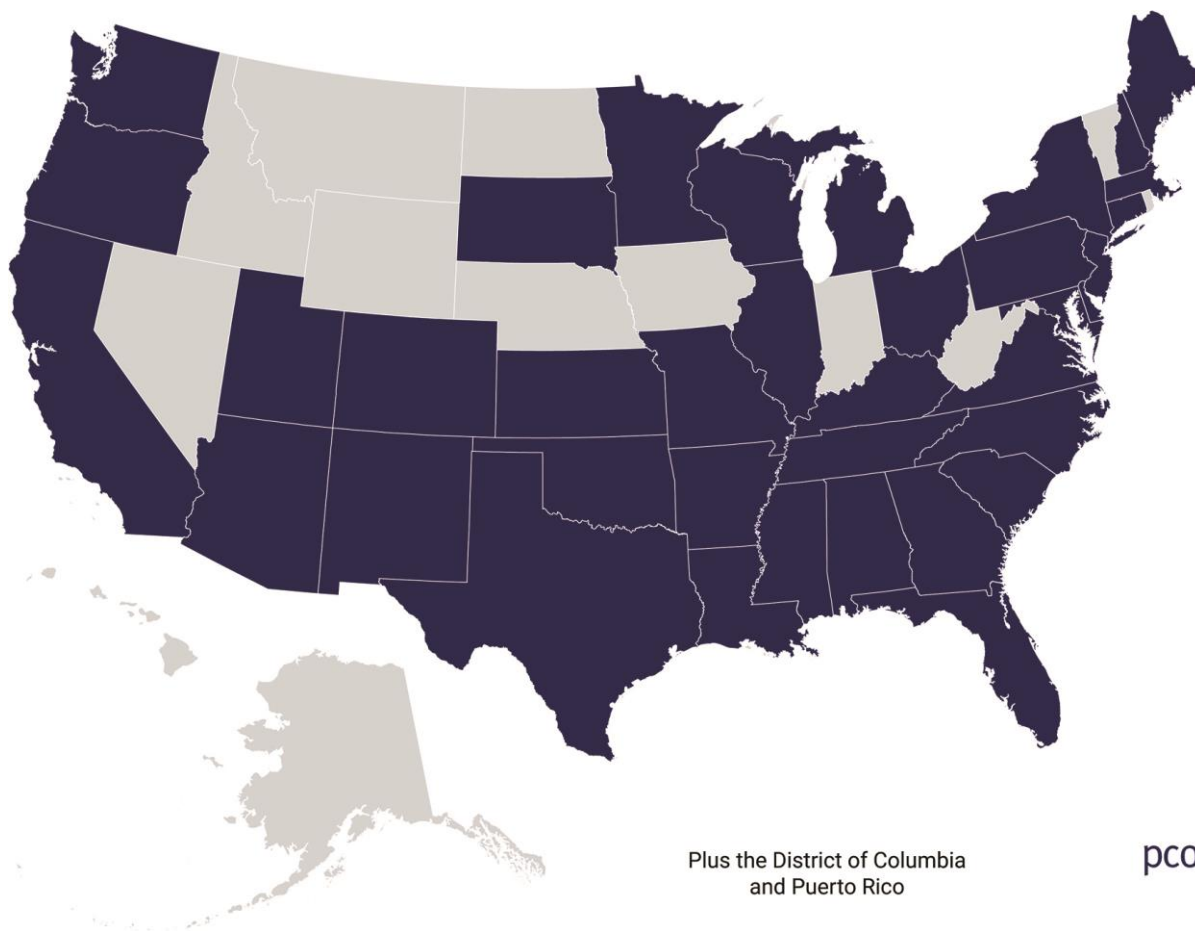 - research design and methodology
  - research development
  - dissemination and implementation

  > Awards of **up to $50,000** per conference, up to **one year** in duration

Building a National Network for PCOR

- ~$45 Million awarded

Plus the District of Columbia
and Puerto Rico

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Highlighting Dissemination Planning Support Projects

- We've tagged Engagement Awards with a Dissemination Planning Support focus under the Research and Results section of the PCORI website.
  - When exploring the 'Research Support Projects' you can search and filter by this type of Research Support Award.

"Reducing Cancer Disparities by Engaging Stakeholders"

Objectives

- Develop a learning community with a common agenda related to reducing cancer screening-related disparities;

- Determine disparities in screening rates;

- Identify evidence-based approaches that increase screening and reduce screening disparities;

- Adapt effective intervention approaches for use in primary care practices;

- Disseminate a model approach to intervention adaptation in health systems;

- Evaluate learning community engagement and related outcomes.

Ron Myers, PhD
Thomas Jefferson University

Project Collaborators:
Two regional health systems (Lehigh Valley Health Network and Delaware Valley Accountable Care Organization); a patient and stakeholder advisory committee from each health system; insurers; a state and a local health department; regional employer groups; a national advocacy organization; a regional advocacy organization; and Children's Hospital of Philadelphia

Engagement Award Project, awarded October 2015

"PCOR Dissemination at Work: How Employers Use Evidence to Make Employee Health Investment Decisions"

Objectives

- Identify existing PCOR/CER evidence of high relevance to a working population

- Understand how employers use such evidence

- Improve the uptake and implementation of this PCOR-based evidence in employee health investment decision-making

Methods

- Employer case studies, expert panel, employer interviews, stakeholder-specific communications materials, and dissemination events

Kimberly Jinnett, PhD
The Center for Workforce Health and Performance

***Project Collaborators**: Integrated Benefits Institute; Center for Value-Based Investment Design; RAND; employers*

Engagement Award Project, awarded May 2016

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PROGRAM UPDATES

Lessons Learned

- Organizations have developed and implemented successful PCOR-focused trainings and programs, and there is a growing body of literature in this field.

- Successful methods and best practices for increasing patient and stakeholder engagement in research are not currently being disseminated at a pace consistent with the demands for patient-centered CER.

- It is vital that PCORI support organizations in laying the groundwork for active dissemination of PCORI-funded research findings to potential end-users. Disseminating and promoting the uptake of research findings is part of PCORI's legal mandate to improve the quality and relevance of evidence available to help patients, caregivers, clinicians, employers, insurers, and policy makers make better-informed health decisions.

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A Closer Look at Revised Funding Opportunity

- Support for organizations with strong ties to patients, caregivers, clinicians, and other stakeholders to engage them as partners in PCOR/CER

- Projects may focus on
  - increasing the knowledge and competency of patients and other stakeholders to be meaningful partners in all stages of research, from topic selection to dissemination and implementation of results
  - strengthening researchers' skills of partnering with patients and other stakeholders

- Applicants must
  - clearly explain how the capacity that their proposed award develops will apply to existing or planned PCOR/CER partnership opportunities
  - identify and describe any tools, trainings, or programs that they will use, as well as provide the evidence base underlying such resources

- We encourage applicants to use or adapt available resources whenever possible, rather than develop new products and tools

A Closer Look at Revised Funding Opportunity (Cont'd)

- Support organizations with many constituents who view them as trusted sources of health information to strengthen the infrastructure and relationships necessary to D&I research results or products derived from PCORI-funded studies or related research

- Project activities may include
  - developing, demonstrating, and evaluating the processes, pathways, and mechanisms necessary to provide patients, clinicians, and others in the healthcare community with evidence useful for the decisions they must make

- Applicants must
  - identify existing or emerging PCOR/CER findings that are highly relevant to its members
  - clearly explain how they intend to sustain the infrastructure and relationships that they develop
  - indicate whether the project has potential to reach beyond the group engaged in the project and whether the project could be a vehicle for D&I additional PCOR/CER findings.

A Closer Look at Revised Funding Opportunity for Meeting/Conference Support

- The types of meeting/conference themes eligible for support under this EAIN include but are not limited to those where the meeting or conference meets the following criteria:

  – Research Design and Methodology—Addresses methodological and technical issues of major importance in the field of PCOR/CER, or facilitates development of new research designs/methodologies.

  – Research Development—Explores or identifies potential topics for PCOR/CER with patients, researchers, or other relevant stakeholders. Conference organizers must identify a clear audience for the topic identification exercise, particularly a research funding organization.

  – **Dissemination and Implementation—Communicates findings—or emerging findings—from PCORI or related studies to a targeted, essential end-user audience. Conference organizers must address the proposed conference activities as part of an essential strategy for increasing the uptake of findings that have important potential impact on clinical practice, including the important role of the proposed target end-user audience.**

Additional Information

- We expect that projects selected for an Engagement Award will further PCORI's goals of promoting the relevance of research to target audiences and the uptake of research results by end-users. We are committed to using and sharing successful approaches.

- Organizations that have previously received an Engagement Award are eligible to apply if their current/former project is being expanded, the content will be delivered in new formats, and/or the geographic breadth and/or audience reach will be broadened.

APPLICATION PROCESS

Who Can Apply?

- **Private Sector**
  - Nonprofit and for-profit research organizations

- **Public Sector**
  - Universities and colleges; hospitals and healthcare systems; laboratories and manufacturers; units of state, local, or federal government

- **U.S. Organizations**
  - Must be recognized by the Internal Revenue Service

- **Foreign Organizations and Non-Domestic Components of U.S. Organizations**
  - May apply if there is demonstrable benefit to the U.S. healthcare system or U.S. efforts in the area of patient-centered research can be clearly shown

- **Individuals**
  - Not permitted to apply under this program

How to Submit Applications

http://www.pcori.org/funding-opportunities

Eugene Washington PCORI Engagement Award Program

Key Deadlines	Type	Funds Available	Total Costs
LOI: September 29, 2017 by 5 p.m. ET **Application:** Full proposals are due 40 days after review and approval of the LOI.	Research Support Award		Award total costs may not exceed $250,000

Engagement Award (EAIN): Research Meeting and Conference Support

Key Deadlines	Type	Funds Available	Total Costs
LOI: Not required **Application:** September 29, 2017 by 5 p.m. ET	Research Support Award		Award total costs must not exceed $50,000

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Review Process

Submit Letter of Inquiry (LOI)	PCORI Review (40 days)	If Invited, Submit Proposal (40 days)	PCORI Review (40 days)	Decision to Award/Not Award

- If applying for meeting/conference support, you do not need to submit a Letter of Inquiry (LOI); applicants proceed directly to submitting a full proposal.
- The next funding cycle deadlines for both LOIs and proposals for meeting/conference support is September 29,2017
- Applications are reviewed by PCORI's Engagement Department, Contracts Management and Administration, and other PCORI internal staff, as needed.

Tips for Successful Submissions

- Read FAQs
- Consider projects already awarded - summaries and work products available at http://www.pcori.org/research-results
- Review Available Resources
  - Program Guidelines
    - Program summary, award types and list of what we don't fund
  - Application Guidelines
    - Programmatic overview and application requirements
    - Details on how to submit an LOI and application
    - Application checklist
    - Includes a glossary of key terms
    - Allowable and unallowable costs
  - Online User Manual
  - Step-by-step guide to walk through the online application portal
- Use Application Checklist
  - Ensure you've included all required and optional components before hitting submit

Tips for Successful Submissions (Cont'd)

- While Letters of Support are optional in your submission, we value them and take them into account in the review process. If possible, we recommend you submit them as a part of your application.

- Any stakeholder group(s) you are proposing to work with in your proposal should be a part of developing the project concept and ideally, helping to develop your LOI/EAIN. Preliminary engagement work is necessary to develop a strong Engagement Award application.

- For applicants proposing to complete a dissemination-focused project, we expect you to be familiar with PCORI's research portfolio as it pertains to the topic(s), population(s), and/or strategies proposed so that there is a logical connection between your planned project and the content you would ultimately disseminate.

- Engagement Award Program Officers are available to discuss your ideas in advance of, and while you are developing your proposals. Reach out to us at ea@pcori.org for support via email or phone.

What *Don't* Engagement Awards Fund?

- Out of Scope for an Engagement Award project:
  - Projects intended to improve patient engagement in nonresearch activities, such as healthcare service delivery, patient self-care, or patient-centeredness of care
  - Research studies (PCORI funds these studies through other opportunities)
  - Development of research proposals
  - Development of interventions
  - Validation of tools or instruments
  - Development of registries
  - Projects intended to increase the number of patients who enroll as subjects in research studies or registries
  - Development of decision aids or clinical practice guidelines
  - Meetings that don't focus on PCOR or CER

- While Engagement Awards support organizations in building their ability, infrastructure, or capacity to bring PCORI research results to their constituents, PCORI is directly funding dissemination and implementation activities through other opportunities

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Applications Reviewed Against Six Criteria

- Program Fit
  - Does the application demonstrate program fit or an innovative idea that supports its mission?
- Project Plan and Timeline
  - Does project plan and timeline seem reasonable?
- Qualifications of Project Lead
  - Do the qualifications of the project lead align with the scope of the project?
- Personnel and Collaborators
  - Is there adequate engagement of patients and other stakeholders? Are collaborations meaningful and appropriate based on interest, expertise and scope of the project?
- Past Performance
  - Does the project team demonstrate sufficient past performance?
- Budget/Cost Proposal
  - Does the budget demonstrate reasonable cost designations?

CONTRACTUAL/FINANCIAL FAQs

Engagement Pre-Award Process - Budget Review

- **Allowable and Unallowable Costs**

  - Direct Costs: Are they also reasonable?

  - Indirect Costs:
  - Follow your institution's Rate Agreement policy, either federally or independently negotiated. If none, you may use up to 10% IDC.

- ***Detailed* Budget and Justification**
  - Salary and Fringe Benefits – salary cap, effort, institution's fringe policy
  - Breakdown for # of days, traveling to/from, airfare, per diem, how much per meal
  - Consultants – rates, how rate was derived, # of hours
  - Conference – how much for A/V rental, catering (breakdown), space rental

Allowable vs. Unallowable Costs

ALLOWABLE COSTS

- PERSONNEL SALARIES & BENEFITS
- CONSULTANT FEES
- TRAVEL COSTS
- EQUIPMENT
- INPATIENT & OUTPATIENT COSTS
- SUPPLIES
- SUBCONTRACTOR DIRECT & INDIRECT
- APPLICANT INDIRECT COSTS

UNALLOWABLE COSTS

- ADVERTISING
- EXCESSIVE AIRFARE
- ALCOHOLIC BEVERAGES
- ALUMNI ACTIVITIES
- BAD DEBTS/LOSSES
- DONATIONS
- FUNDRAISING
- PERSONAL EXPENSES
- STUDENT HOUSING
- IDLE FACILITIES
- INTEREST OF BAD DEBTS
- ENTERTAINMENT
- LOSSES ON OTHER GRANTS
- MEMBERSHIP
- LOBBYING

Upcoming Events

Upcoming Events

- **PCORI Dissemination & Implementation Funding Opportunities Webinar:** July 11, 2017

- **Upcoming FY 2018 Funding Cycle Deadlines:** September 29, 2017, February 1, 2018 and June 1, 2018.

- **PCORI Annual Meeting:** October 31-November 2, 2017 in Crystal City, VA

Thank you!

Contact Information:

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Visit: http://www.pcori.org/funding-opportunities/programmatic-funding/eugene-washington-pcori-engagement-awards

Questions and Comments?

We welcome your questions and comments; use the chat function on the right side of your screen.

We welcome your comments via Twitter to @PCORI and #PCORI.

An archive of this webinar will be posted to http://www.pcori.org/get-involved/participate-pcori-events/pcori-practice following this event.

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