Eugene Washington PCORI Engagement Award Program

New PCORI Funding Announcements for Engagement Awards

September 26, 2019

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PATIENT-CENTERED OUTCOMES RESEARCH INSTITUTE

Agenda

- Welcome and Staff Introductions

- About PCORI and Engagement

- Eugene Washington PCORI Engagement Award Program Overview

- New Funding Announcements for Engagement Awards

- Application Process and Tips for Success

- Applicant Contractual/Financial FAQs

- Upcoming Key Dates

Welcome and Staff Introductions

Lia Hotchkiss, MPH
Director

Alicia Thomas, DrPH, MHS
Senior Program Officer

Courtney Clyatt, MA
Program Officer

Kate Boyd, MPH, DrPHc
Program Officer

Rachel Mosbacher, MPA
Program Officer

Ivey Wohlfeld
Program Manager

Ross Schwarzber, MA
Program Associate

Sangeeta Suku, MPH, MBA
Program Associate

Michele Lee Clements, CRA
Contract Administrator

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About Us

- An independent research institute authorized by Congress in 2010 and governed by a 21-member Board of Governors representing the entire healthcare community

- Funds comparative clinical effectiveness research (CER) that engages patients and other stakeholders throughout the research process

- Seeks answers to real-world questions about what works best for patients based on their circumstances and concerns

Our Mission and Strategic Goals

PCORI helps people make informed healthcare decisions, and improves healthcare delivery and outcomes, by producing and promoting high-integrity, evidence-based information that comes from **research guided by patients, caregivers, and the broader healthcare community.**

Our Strategic Goals:

Increase quantity, quality, and timeliness of useful, trustworthy research information available to support health decisions.

Speed the implementation and use of patient-centered outcomes research evidence.

Influence research funded by others to be more patient-centered.

Who Are Our Stakeholders?

Payers

Clinicians

Caregivers/Family Members

Policy Makers

Purchasers

Patients/Consumers

Industry

Hospitals/Health Systems

Training Institutions

Patient/Caregiver Advocacy Organizations

Why Engage?

1 To influence research to be patient-centered, relevant, and useful
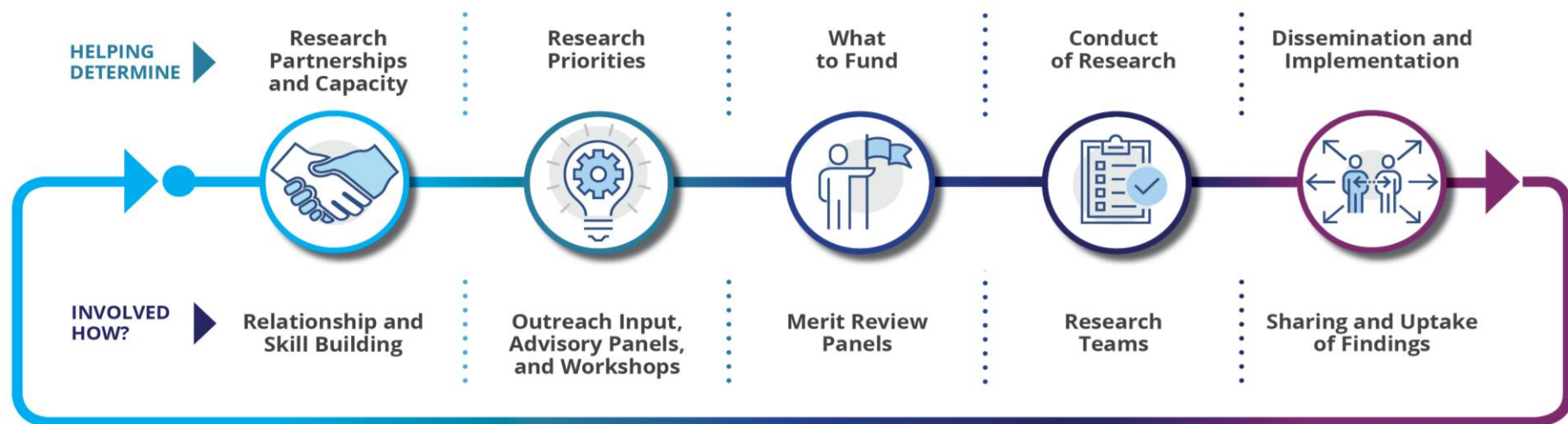
2 To establish trust and a sense of legitimacy in research findings

3 To encourage successful uptake and use of research results

Engagement as a Path to Useful, High-Quality Research

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HELPING DETERMINE ▶
- Research Partnerships and Capacity
- Research Priorities
- What to Fund
- Conduct of Research
- Dissemination and Implementation

INVOLVED HOW? ▶
- Relationship and Skill Building
- Outreach Input, Advisory Panels, and Workshops
- Merit Review Panels
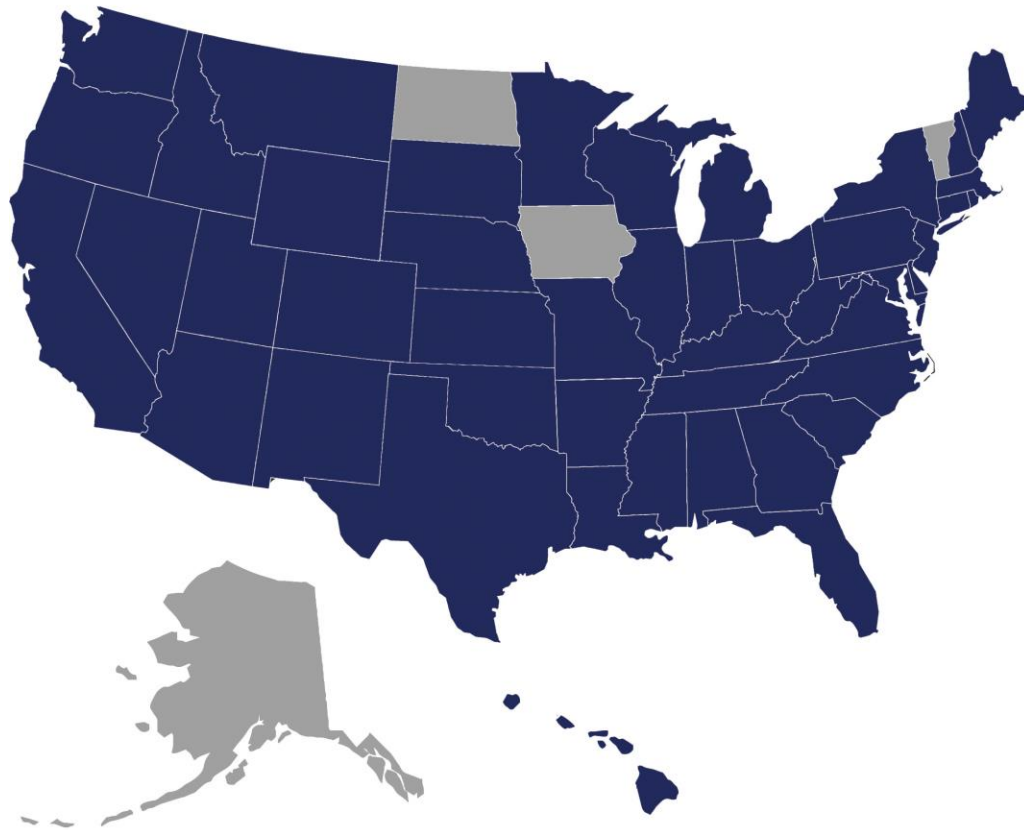- Research Teams
- Sharing and Uptake of Findings

Eugene Washington PCORI Engagement Award Program

- Support projects to build a community of patients and other stakeholders equipped to participate as partners in clinical comparative effectiveness research (CER), as well as serve as channels to disseminate PCORI-funded study results

- Funding for projects and conferences, NOT research

Develop Community Skilled in PCOR

Engage Community in Research Processes

Involve Community in Dissemination

Building a National Network for PCOR

- ~$85 Million awarded since 2014, creating an expansive network of individuals, communities and organizations interested in and able to participate in PCOR

New Funding Announcements

Special Project Funding Announcements

SPECIAL PROJECT FUNDING ANNOUNCEMENTS

ACCELERATING THE ADOPTION OF TOOLS AND RESOURCES

UP TO $100,000
UP TO 1 YEAR

COMMUNITY CONVENING

UP TO $100,000
UP TO 1 YEAR

Accelerating the Adoption of Tools and Resources

Accelerating the Adoption of Tools and Resources—Background

- **This Engagement Award will give organizations and community groups the opportunity to scale up or adopt meaningful engagement tools and resources with more communities, stakeholders, and patients, with the intent to further build capacity/skills for patient-centered outcomes research and clinical comparative effectiveness research (PCOR/CER).**

- **Provides funding support to scale/adopt engagement work that has been created or developed under:**
  - Eugene Washington PCORI Engagement Award;
  - PCORI-funded Research Award; or
  - PCORI Infrastructure Funding Award

Accelerating the Adoption of Tools and Resources—Purpose

PCORI seeks to fund the accelerated adoption of engagement tools and resources that equip communities and stakeholders to participate in and engage with PCOR/CER. The purpose of this special project funding announcement is to:

1. Accelerate the use of promising engagement resources to equip more stakeholders and communities to participate in PCOR/CER

2. Implement tested engagement approaches, processes, and strategies in a specific community to advance PCOR/CER

3. Evaluate preexisting engagement tools and resources to demonstrate success and make improvements

Accelerating the Adoption of Tools and Resources—Information Regarding Eligibility and Activities

- If the organization applying in response to this funding announcement is not the original PCORI awardee institution, then the PI/PL of the original PCORI-funded project must be involved as project personnel in the proposed project.

- The Project Lead (PL) or Principal Investigator (PI) of the original PCORI-funded project must write a Letter of Support.

- This award mechanism does not fund the use or adaptation of materials without prior approval from the relevant copyright owner of the material to be used or adapted (which may typically be the awardee institution of the original PCORI award).

- PCORI is not responsible for facilitating the connection between applicants and the copyright owner(s) of the materials.

- More than one resource or tool may be used if there is a logical justification for the use of multiple for the proposed project.

- Preference will be given to applications submitted by organizations that have already completed their original PCORI-funded award.

Accelerating the Adoption of Tools and Resources—Key Information

Key Information

Status

Upcoming

Online System Opens

October 1, 2019

Application Deadline

Full proposals are due 40 days after review and approval of the LOI.

Letter of Inquiry (LOI) Deadline

November 15, 2019 by 5 p.m. (ET)

Earliest Start Date

Project start date should be no sooner than eight months from LOI submission deadline

Total Costs

Award total costs may not exceed $100,000

Maximum Project Period

1 year

Announcement Type

Research Support Award

Accelerating the Adoption of Tools and Resources—Successful Applications

1. Description of the engagement tool(s) or resource(s) selected and justification for its continued and expanded use

2. Detail about how the engagement tool(s) or resource(s) chosen will be adopted and scaled up to expand patient and stakeholder capacity to engage in PCOR/CER

3. Rationale that there is a need for additional patient and/or stakeholder capacity to participate in PCOR/CER with a clear and direct link to participation in research opportunities

4. Commitment from the patient and/or stakeholder communities to be involved in the project

Accelerating the Adoption—Successful Applications (continued)

5. The potential impact of acceleration/adoption of engagement tool(s)/resource(s)

6. PCORI will provide a set of standardized metrics for all awardees under this initiative (see PCORI Engagement Awards Reporting Tool).

7. The organization's relationship to the population and/or context, and track record in engagement

8. Approval to use and, if applicable, adapt, tool(s) and resource(s) from the relevant copyright owner of the tool(s) and/or resource(s)

Community Convening

Community Convening—Background

- PCORI seeks to fund convenings designed by organizations and community groups that bring diverse stakeholders together to provide an opportunity for collaboration around an identified, unifying area or health topic of interest such as

  - **Geography** (e.g., state or regional focus, etc.)
  - **Health condition** (e.g., mental/behavioral health, reproductive and perinatal health, etc.)
  - **Population** (e.g., LGBTQ+, rural, veterans, etc.)

- These convenings should **increase the involvement of communities underrepresented in PCOR/CER**, taking into account the real-world circumstances or historical factors that may hinder communities typically not engaged in research.

Community Convening— Priorities and Focuses

- Proposed convenings may focus on any of the following:
  - **Transferring knowledge** about engagement methods and best practices to wider audiences
  - **Sharing information** about health condition- or population-specific areas in the PCORI-funded research portfolio (active or completed) to **solicit feedback** and suggestions for future research
  - Identifying studies of interest for dissemination and developing strategies for **future targeted dissemination**
  - **Active dissemination** of PCORI-funded research results to targeted end users to support the uptake of evidence
  - Fostering multistakeholder **collaborations** focused on PCOR/CER by developing partnerships for future engagement

Community Convening—Stakeholder Engagement

- Convenings must include a diverse array of relevant community stakeholders who have a connection to, or expertise in, the focus area and PCOR/CER

- Projects should include **integrated multistakeholder leadership teams** comprising, at minimum:
  - PCORI awardees and/or project partners who can share their work, talk about their engagement strategies, and speak to PCOR/CER broadly
  - Representatives from **at least three** different stakeholder groups

- It is expected that representatives of all stakeholder groups involved will be engaged throughout the duration of the project period including **development** of the proposal, **planning** the event, **participating** in the convening, **evaluation**, and **dissemination**

Community Convening— Outputs and Evaluation

- Convenings will produce **deliverables that will move collaborators closer to participation in, or dissemination of, PCOR/CER** such as:
  - Roadmap or strategic plan for continuing to engage in/partner for PCOR/CER
  - Train-the-trainer resource development and diffusion plan with focus on sustainability
  - How-to guides/tip sheets/videos
- It is expected that **sustainability plans** will be developed to further the goals produced at the convening.
- PCORI will provide a set of **standardized metrics** for all awardees under this initiative (see PCORI Engagement Awards Reporting Tool). Any **supplementary evaluation** focused on knowledge sharing and knowledge transfer is allowable and encouraged.

Community Convening—
Key Information

Key Information

Status

Upcoming

Full Announcement

📄 Engagement Award: Community Convening

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Announcement Type

Research Support Award

Evaluation Reporting Tool

PCORI Engagement Awards Evaluation Reporting Tool—Background

- The Engagement Awards Evaluation Reporting Tool provides a template to collect information about engagement awards that can help with evaluation of our portfolio as evaluation of individual projects.

- The goal of this reporting tool is to ensure that a standard set of indicators is being reported for engagement awards.

- This tool is a first step in consistent evaluation of the PCORI engagement award portfolio

PCORI Engagement Awards Evaluation Reporting Tool

Instructions: The goal of this reporting tool is to ensure that a standard set of indicators is being reported for Engagement Awards. Given the difficulty in applying metrics and a standard set of indicators around engagement best practices, this tool provides a baseline of outcomes to report on in Engagement Award projects. At a minimum, evaluation reports should document the reach (in absolute numbers) of their acceleration/adoption of tools/resources. Not all projects will have outcomes that fit into each category. However, please address these categories as comprehensively as possible. Some projects may have multiple outcomes within each category. Once completed, please upload this document the PCORI Online portal with the Final Deliverable milestone.

Outcome Type	Measurement Examples (Quantitative or Qualitative)	Measurement	Examples of Questions to Consider	Brief Description
Reach **(individual level)** The number, proportion, description of end users	- Description of target population (# and relevant information) - Description of how the target population is reached	Click or tap here to enter text.	- Who is the target population? - How will they be reached? - By whom?	Click or tap here to enter text.
Engagement **(researchers, stakeholders, patients, communities)**	- # of opportunities for patient and stakeholder partners to engage - # of jointly identified	Click or tap here to enter text.	- Which partners have been involved throughout the planning and	Click or tap here to enter text.

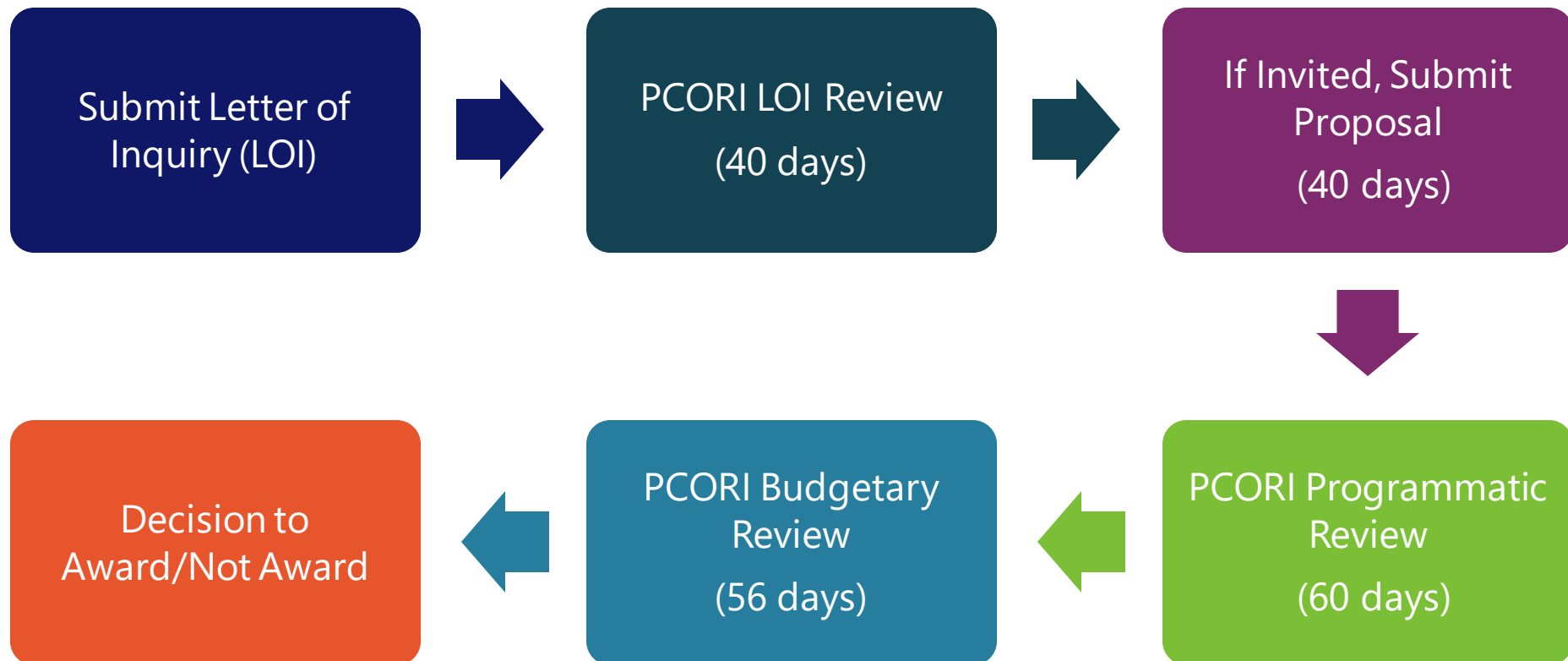# PCORI Engagement Awards Evaluation Reporting Tool

- The Evaluation Reporting Tool should be used as a template to assess the following outcomes: Reach, Engagement, Adoption, and Implementation

- This tool should be considered during the application phase of all engagement awards

- The tool will be uploaded with the final report of every engagement award

- If an awardee would like to use a different evaluation framework, they are more than welcome to do so

- We are hopeful that evaluation of Reach, Engagement, Adoption and Implementation will lead to a more robust evaluation of individual projects and the PCORI engagement award portfolio

Application Process

Who Can Apply?

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- **Private Sector**
  - Nonprofit and for-profit research organizations

- **Public Sector**
  - Universities and colleges; hospitals and healthcare systems; laboratories and manufacturers; units of state, local, or federal government

- **U.S. Organizations**
  - Must be recognized by the Internal Revenue Service

- **Foreign Organizations and Non-Domestic Components of U.S. Organizations**
  - May apply if there is demonstrable benefit to the U.S. healthcare system or U.S. efforts in the area of patient-centered research can be clearly shown

- **Individuals**
  - Not permitted to apply under this program

Review Process

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Submit Letter of Inquiry (LOI) → PCORI LOI Review (40 days) → If Invited, Submit Proposal (40 days)
                                                                          ↓
Decision to Award/Not Award ← PCORI Budgetary Review (56 days) ← PCORI Programmatic Review (60 days)
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Tips for Successful Submissions

- Engagement Award Program Guidelines
  - Program summary, award types and list of what we don't fund
- Review funding announcement webpage in full
- Use Application Guidelines (PDF)
  - Programmatic overview and application requirements
  - Details on how to submit an LOI and application
  - Application checklist
  - Includes a glossary of key terms
  - Allowable and unallowable costs
- Use Applicant Resources
  - Applicant FAQs
  - Step-by-step guide to walk through the online application portal
  - Archive of this webinar
  - Application Checklist
    - Ensure you've included all required and optional components before hitting submit

Tips for Successful Submissions (continued)

- Unlike our other funding announcements, Letters of Support are required for these two funding announcements.

- Any stakeholder group(s) you are proposing to work with in your project should be a part of developing the project concept proposal. Preliminary engagement work is necessary to develop a strong Engagement Award application.

- Consider projects already awarded - summaries and work products are available on the PCORI website

- For applicants proposing a dissemination-focused project, we expect you to be familiar with PCORI's research portfolio as it pertains to the topic(s), population(s), and/or strategies proposed so that there is a logical connection between your planned project and the content you would ultimately disseminate.

- Engagement Award Program Officers are available to discuss your ideas in advance of, and while you are developing your proposals. Reach out to us at ea@pcori.org for support via email or phone.

What Engagement Awards Don't Fund

- Out of Scope for an Engagement Award project:
    - Projects solely intended to improve patient engagement in healthcare service delivery or patient self-care
    - Research studies (PCORI funds these through other opportunities)
    - Delivery of health care
    - Development of registries
    - Projects intended to increase patient recruitment and enrollment in research studies or registries
    - Development of clinical practice guidelines
    - Cost-effectiveness analysis
    - Meetings that don't focus on PCOR or CER issues or topics
    - Development of research proposals or submission of applications for funding

Criteria Used to Review Applications

1. **Program Fit**
   - Does the application demonstrate program fit or an innovative idea that supports PCORI's mission?

2. **Project Plan and Timeline**
   - Do the project plan and timeline seem reasonable?

3. **Qualifications of Project Lead**
   - Do the qualifications of the project lead align with the scope of the project?

4. **Personnel and Collaborators**
   - Is there adequate engagement of patients and other stakeholders? Are collaborations meaningful and appropriate based on interest, expertise and scope of the project? Is a letter of support included?

5. **Past Performance**
   - Does the project team demonstrate sufficient past performance?

6. **Budget/Cost Proposal**
   - Does the budget demonstrate reasonable cost designations?

Contractual/
Financial FAQs

Engagement Pre-Award Process: Budget Review

- **Allowable v. Unallowable Costs**
  https://www.pcori.org/document/costs-principles-description-allowable-direct-costs-under-pcori-award

- **Direct Costs:** *Are they also reasonable?*

- **Indirect Costs:**
  - Follow your Institution's Rate Agreement policy, federally or independently negotiated. If none, you may use up to 10% IDC

- *Detailed* **Budget and Justification**
  - Personnel: Salary and Fringe Benefits – salary cap, % effort
  - Travel: Breakdown per person for # of days, traveling to/from, airfare, per diem/lodging, meals and incidentals
  - Consultants: rate per hour, how rate was derived, # of hours
  - Conferences/Meetings: space and A/V rental, catering
  - Subcontracts: $25k cap on prime indirects for ALL subs TOTAL, not each individual sub

Allowable vs. Unallowable Costs

Upcoming Key Dates

Upcoming Key Dates

October 1

LOI submission window opens

November 15

LOI submission window closes

THANK YOU!

Contact Information

Eugene Washington PCORI Engagement Award Program
Patient-Centered Outcomes Research Institute (PCORI)
1828 L Street NW, 9th Floor
Washington, DC 20036

202.370.9312

ea@pcori.org

Visit: http://www.pcori.org/funding-opportunities/programmatic-funding/eugene-washington-pcori-engagement-awards

Questions?